IN THE U.S. PATENT AND TRADEMARK OFFICE

2503 JAN 2002

Applicant:

Giovanni MOGNA et al.

Appl. No.:

Group:

Filed:

January 24, 2002

Examiner:

For:

PHAGE-RESISTANT MICROORGANISMS AND GENETIC

DETERMINANTS OF PHAGE RESISTANCE

INFORMATION DISCLOSURE STATEMENT (SUBMISSION CONCURRENT WITH THE FILING OF A NEW PATENT APPLICATION)

Assistant Commissioner for Patents Washington, DC 20231

January 24, 2002

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, and in fulfillment of the duty of disclosure under 37 C.F.R. § 1.56, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

- Submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- This application is a National Phase of a PCT application. Some or all of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should have been forwarded from the International Search Authority pursuant to the trilateral agreement between the USPTO, EPO and JPO. If copies are needed, please contact the undersigned.

III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

a. DOCUMENTS IN THE ENGLISH LANGUAGE

The attached patents, publications, or other information in the English language do not require a statement of relevancy.

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" SOUTH POCKET NO. 5202-1005

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b. DOCUMENTS NOT IN THE ENGLISH LANGUAGE

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

Abstract is provided.

An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).

d.

OTHER

The following additional information is provided for the Examiner's consideration.

FEES

This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

If The Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned.

Respectfully submitted,

YOUNG & THOMPSON

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BC/ia

Enclosures:

□ Documents

Other:

Attorney Docket No.:

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

2503-1002

Applicant:

Application No.: NEW

(Use several sheets if necessary)

Initial

Giovanni MOGNA tal.

Filing Date:

Group Art Unit: January 24, 2002

U.S. PATENT DOCUMENTS Class Subclass Filing date Examiner **Document Number** Date Name (if appropriate) **FOREIGN PATENT DOCUMENTS** Translation Date Country Class Subclass Examiner **Document Number**

Initial				Yes	No_
	FR 2 767 831	3/5/1999	FRANCE	*	
	WO 96/21017	7/11/1996	WIPO	X	
				 	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) T. O'SULLIVAN et al., "Structural and functional analysis of pCl65st, a 6.5 kb plasmid from Streptococcus thermophilus NDI-6", MICROBIOLOGY, vol. 145, no. 1, Jan 1999, pp 127-134 T. JANZEN et al.. "Sequencing and characterization of pST1, a cryptic plasmid from Streptococcus thermophilus", FEMS MICROBIOLOGY LETTERS, vol. 74, no. 2-3, Aug 15, 1992, pp 175-180 G. ALLISON et al., "Phage Resistance Mechanisms in Lactic Acid Bacteria", INTERNATIONAL DAIRY JOURNAL, vol. 8, no. 3, March 1998, pp 207-226

EXAMIN	IER:	 DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

^{*} Abstract provided for the Examiner's convenience